

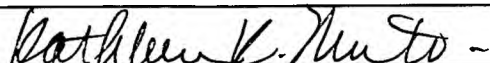


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 <h2 style="text-align: center;">TRANSMITTAL FORM</h2> <p style="text-align: center;">(to be used for all correspondence after initial filing)</p>		Application Number		10/006,130	
		Filing Date		December 6, 2001	
		First Named Inventor		FERRARA, Napoleone	
		Group/Art Unit		1647	
		Examiner Name		Hunnicut, Rachel Kapust	
Total Number of Pages in This Submission		9	Attorney Docket Number		39780-2630 P1C8
ENCLOSURES (check all that apply)					
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Version With Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input type="checkbox"/> Copy of Notice		<input type="checkbox"/> Declaration(s) <input type="checkbox"/> Amendment Under 37 CFR §1.48(b) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, by Assignee to Exclusion of Inventor Under 37 C.F.R. §3.71 With Revocation of Prior Powers <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund		<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> ADDITIONAL ENCLOSURE(S) (PLEASE IDENTIFY BELOW): <input checked="" type="checkbox"/> Request For Certificate of Correction (1 pg); Certificate of Correction in duplicate (PTO 1050) (1 pg); Amendment Under 37 CFR 1.48(b) filed 10/25/04 (2 pgs); Copy of Corrected Filing Receipt mailed 6/19/06 (3 pgs); STAMPED RETURN POSTCARD	
Remarks		AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER, REFERENCING ATTORNEY'S DOCKET NO. 39780-2630 P1C7.			
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT					
Firm or Individual name	HELLER EHRMAN LLP		ANNA L. BARRY (Reg. No., 51,436)		
	275 Middlefield Road, Menlo Park, California 94025		Telephone: (650) 324-7000		Facsimile: (650) 324-0638
Signature					
Date	September 7, 2006		Customer Number:	35489	

CERTIFICATE OF EXPRESS MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: ATTN: Certificate of Correction Branch, Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on this date: September 7, 2006			
		Express Mail Label EV 582 635 845 US	
Typed or printed name	KATHLEEN K. MUTO		
Signature		Date	September 7, 2006

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop ____, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

SEP 13 2006



PATENTS
Attorney Docket No. 39780-2830 P1C7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent No.: 7,098,312 B2
Dated: August 29, 2006
Inventor(s): Ferrara, et al.

MS: Certificate of Correction Branch
Commissioner for Patents
PO Box 1450
Alexandria VA 22313-1450

Sir:

REQUEST FOR CERTIFICATE OF CORRECTION

Patentee hereby requests a Certificate of Correction since the issued patent does not take into account the changes shown in the Amendment filed under 37 CFR 1.48(b) October 25, 2004.

Submitted herewith is a copy of the Amendment filed under 37 CFR 1.48(b) on October 25, 2004 and a copy of the Corrected Filing Receipt mailed June 19, 2006, along with a completed Form PTO-1050 containing the requested correction. A return receipt postcard is enclosed.

No fee is believed due, because this is the correction of an Office mistake. Please charge any fees that may be required to Account No. 08-1641, referencing Attorney Docket 39780-2830 P1C7. A duplicate of this document is enclosed.

Respectfully submitted,

Date: September 7, 2006

By: 
Anna L. Barry (Reg. No. 51,436)

Heller Ehrman, LLP
Customer No. 35489
275 Middlefield Road
Menlo Park CA 94025-3506
Tel: 650/324-7000
Fax: 650/324-0638

SV 2231541 v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:) Examiner: Hunnicutt, Rachel Kapust
)
Kevin P. BAKER, et al.) Art Unit: 1647
)
Application Serial No. 10/006,130) Confirmation No. 1764
)
Filed: December 6, 2001) Attorney's Docket No. 39780-2830 P1C7
)
For: **SECRETED AND**) **Customer No. 35489**
 TRANSMEMBRANE)
 POLYPEPTIDES AND NUCLEIC)
 ACIDS ENCODING THE SAME)

EXPRESS MAIL LABEL NO. EL 977 609 265 US
DATE MAILED: OCTOBER 25, 2004

AMENDMENT UNDER 37 C.F.R. §1.48(b)

MAIL STOP AF

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

In the above identified application, a Final Office Action was mailed on August 24, 2004. Having reviewed the application, Applicants have found that as a result of claim amendments made during prosecution, fewer than all of the currently named inventors are the actual inventors of the invention being claimed in the present application. Accordingly, please delete the names of the following inventors, who have not made an inventive contribution to the currently claimed subject matter:

Kevin P. Baker	David Botstein
Luc Desnoyers	Dan L. Eaton
Sherman Fong	Wei-Qiang Gao
J. Christopher Grimaldi	Kenneth J. Hillan
Nicholas F. Paoni	Margaret Ann Roy
Victoria Smith	Timothy A. Stewart
Daniel Tumas	P. Mickey Williams

SEP 13 2006

Upon entering the present amendment, Napoleone Ferrara, Audrey Goddard, Paul J. Godowski, Austin Gurney, James Pan, Colin K. Watanabe and William I. Wood remain named inventors in this case.

Although no fees are believed to be due at this time, please charge any fees that might become applicable, including any fees for extension of time, or credit overpayment to Deposit Account No. 08-1641, referencing Attorney Docket No. 39780-2830 P1C7. Please direct any calls in connection with this application to the undersigned at the number provided below.

Respectfully submitted,

Date: October 25, 2004

By: 
Anna L. Barry (Reg. No. 51,436)

HELLER EHRMAN WHITE & McAULIFFE LLP
275 Middlefield Road
Menlo Park, California 94025
Telephone: (650) 324-7000
Facsimile: (650) 324-0638

SV 2060340 v1
10/23/04 6:24 PM (39780.2830)

SEP 13 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/006,130	12/06/2001	1647	1040	GNE.2830P1C7	249	13	2

35489
HELLER EHRMAN LLP
275 MIDDLEFIELD ROAD
MENLO PARK, CA 94025-3506

RECEIVED

JUN 22 2006

HELLER EHRMAN

CONFIRMATION NO. 1764
CORRECTED FILING RECEIPT
OC000000019321329
OC000000019321329

Date Mailed: 06/19/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Napoleone Ferrara, San Francisco, CA;
Audrey Goddard, San Francisco, CA;
Paul J. Godowski, Hillsborough, CA;
Austin L. Gurney, Belmont, CA;
James Pan, Belmont, CA;
Colin K. Watanabe, Moraga, CA;
William I. Wood, Hillsborough, CA;

Assignment For Published Patent Application

Genentech, Inc.

Power of Attorney: The patent practitioners associated with Customer Number 09157.

Domestic Priority data as claimed by applicant

This application is a CON of 09/946,374 09/04/2001 ABN
which is a CON of PCT/US00/04342 02/18/2000
which is a CIP of PCT/US99/28313 11/30/1999
which is a CIP of PCT/US99/20111 09/01/1999
which claims benefit of 60/099,596 09/09/1998

Foreign Applications

If Required, Foreign Filing License Granted: 01/11/2002

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/006,130**

DOCKETED

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

Preliminary Class

530

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

SEP 13 2000

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO. : 7,098,312 B2

DATED : August 29, 2006

INVENTOR(S) : Ferrara, et al.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the front page, in the Inventors, delete the inventors and replace as follows – Napoleone Ferrara, San Francisco, CA (US); Audrey Goddard, San Francisco, CA (US); Paul J. Godowski, Hillsborough, CA (US); Austin L. Gurney, Belmont, CA (US); Colin K. Watanabe, Moraga, CA (US); William I. Wood, Hillsborough, CA (US)--

MAILING ADDRESS OF SENDER:

Ginger R. Dreger
HELLER EHRMAN LLP
275 Middlefield Road
Menlo Park, CA 94025

PATENT NO.: 7,098,312 B2
Atty. No.: 39780-2830 P1C7

13 2006

**UNITED STATES PATENT AND TRADEMARK OFFICE
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It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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MAILING ADDRESS OF SENDER:

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HELLER EHRMAN LLP
275 Middlefield Road
Menlo Park, CA 94025

PATENT NO.: 7,098,312 B2
Atty. No.: 39780-2830 P1C7

13 2006